

HATHAWAY FINANCIAL LLC

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PRIVACY POLICY

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security Number, Date of Birth, Banking Information, email, phone number, Financial Account Numbers and/or Balances, Sources of Income, and Credit Card Numbers or Information. When you are no longer our customer, we may continue to share your information only as described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

We don't intend to share your information with anyone, except where required for servicing your account and meeting regulatory requirements, such as audits or reviews by state and federal regulators. Below is where we store and share information:

- All of your account information is collected by Charles Schwab and Co., Inc. (Schwab) and stored with Schwab. Schwab and Hathaway Financial may process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.
- To the extent you have requested use of Financial Planning Software (currently we use MoneyGuide Envestnet), the information you input there is stored on their servers.
- We use Google Drive to store all business related documentation.
- We may be required to share your information with State and/or Federal regulatory agencies; for example, when we are being audited.

If you are a new customer we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

PROTECTING CONFIDENTIALITY OF CURRENT AND FORMER CLIENT'S INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

FEDERAL & STATE LAW ALLOWS YOU TO LIMIT SHARING - OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by "opting-out" of the following: sharing for non-affiliates' everyday business purposes - information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing.

HathawayFinancial does not share your information with any affiliates or non-affiliates.

DEFINITIONS: Affiliates - companies related by common ownership or control. They can be financial and non-financial companies; Non-affiliates - companies not related by common ownership or control. They can be financial and non-financial companies; Joint marketing - a formal agreement between non-affiliated financial companies that together market financial products or services to you.

Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.